

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Philip R. Houston
Application No. : 10/773,046 Confirmation No.: 5450
Filed : February 5, 2004
For : METHOD OF ANALYZING CORPORATE DISCLOSURES
Group Art Unit : 3629
Examiner : Gabrielle A. McCormick

New York, New York 10036
July 31, 2008

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following reference of record in the above-identified patent application:

<u>NON-PATENT LITERATURE</u>
SMITH, NICKY, "Reading between the Lines: An evaluation of the Scientific Content Analysis technique (SCAN)" 57 pp. First Published in 2001.
Godoy-Cervera, Verónica, et al, "Criteria-Based Content Analysis (CBCA) In Statement Credibility Assessment," Papeles del Psicólogo, 2005. Vol. 26, pp. 92-98, 2005.

DePaulo, Bella M., et al, "Cues to Deception," Psychological Bulletin 2003, Vol. 129, No. 1, 74-118.
Hirschberg, Julia, et al, "Distinguishing Deceptive from Non-Deceptive Speech," with abstract 4 pp. 2005.
Enos, Frank, et al, "Personality Factors in Human Deception Detection: Comparing Human to Machine Performance," with abstract 4 pp. 2006.
Analysis of Proposed Detection of Deception Methodology by Dr. Pennebaker.
BIA Products and Services Overview, undated (2002).
BIA Products and Services Overview, undated (2004).
BIA Products and Services Overview, February 4, 2004.
BIA Products and Services Overview, June 10, 2005.
BIA Products and Services Overview, undated (2008).
Between the Lines, Behavioral Intelligent Investment Research, Product Overview, undated (2008).
Letter dated February 26, 2002, with attached course description and outline.
Webpage marketing material taken from http://www.biadvisors.com website.

The aforementioned documents, which are listed on the accompanying Form PTO/SB/08, are enclosed herewith.

It is respectfully requested that this reference be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Information Disclosure Statement is filed in reply to a requirement under 37 CFR 1.105. Accordingly, the fee and certification requirements of 37 CFR 1.97 are waived for this submission. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fee required in connection with this Statement to Deposit Account No. 06-1075. An early and favorable action is respectfully requested.

Respectfully submitted,

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